

## LIONTRUST ISA TRANSFER APPLICATION FORM

If you hold a Stocks and Shares ISA or a Cash ISA with another ISA manager you can transfer it in cash only to Liontrust Fund Partners LLP for investment in the Liontrust ISA. Cash ISAs transferred to Liontrust will be converted to Stocks and Shares ISAs for investment into your chosen Liontrust Fund(s). Please complete this form and return it to **Liontrust Customer Services Team, PO Box 11061, Chelmsford CM99 2YA.**

If you have any queries, please call our Customer Services Team on **+44 (0)844 892 1007**

Note: Under the ISA regulations, during the tax year of the initial investment, ISA investments cannot be partially transferred to another manager; only the whole ISA can be transferred. Only after the end of the tax year of the initial investment can you transfer part of the previous tax year's ISA investments to another ISA manager.

In accordance with the Terms and Conditions, this transfer form will act as authorisation to your existing ISA manager to transfer your ISA to Liontrust Fund Partners LLP.

If you have an existing Liontrust account, please enter the account number here: \_\_\_\_\_

### 1 Registration details

Title (Mr/Mrs/Miss/Ms/Other) \_\_\_\_\_ Surname \_\_\_\_\_

Forename(s) (in full) \_\_\_\_\_

Permanent residential address (c/o addresses are not permitted) \_\_\_\_\_

Postcode \_\_\_\_\_

Country of residence (for tax purposes) \_\_\_\_\_ Nationality \_\_\_\_\_

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_ (DD/MM/YYYY) National Insurance Number \_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_

Telephone number \_\_\_\_\_ E-mail Address \_\_\_\_\_

**Note:**

- An ISA may only be taken out in an individual's name. It cannot be held in joint names.
- You must supply your National Insurance Number and date of birth.
- If you do not have a National Insurance Number please tick this box:

### 2 Investment details

I wish to transfer my existing ISA(s) to Liontrust Fund Partners LLP and invest the net proceeds into the Fund(s) as I have indicated below:

Either

I am transferring the whole of the existing ISA(s) to Liontrust Fund Partners LLP for investment into the Liontrust ISA.

Or

I am transferring part of the existing ISA(s) to Liontrust Fund Partners LLP  and wish to invest £\_\_\_\_\_ (minimum £1,000) in the Liontrust ISA.

**Liontrust European Absolute Return Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ % **Liontrust FTSE 100 Tracker Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ %  
(GB00B6100440) (GB0007420895)

**Liontrust European Growth Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ % **Liontrust UK Growth Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ %  
(GB00B1GKBD09) (GB0007014557)

**Liontrust Income Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ % **Liontrust UK Smaller Companies Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ %  
(GB0007010795) (GB0007420788)

**Liontrust Special Situations Fund (R)** £\_\_\_\_\_ or \_\_\_\_\_ %  
(GB00B0N6YF70)

### 3 Income

All the Funds except Liontrust European Absolute Return Fund issue income units only. You can elect to have any income from your investment either paid out to you or reinvested. If you wish to have income paid out, please supply your bank details. If no election is made (by completing the details below), income will automatically be reinvested to purchase additional units which will be added to your holding. Liontrust European Absolute Return Fund issues Accumulation units only. Liontrust does not pay distributions by cheque.

Investors wishing to receive income must complete this section.

If you wish to have all income paid out to you please supply your banking details. Payment is made by direct credit.

Bank or building society \_\_\_\_\_

Branch address \_\_\_\_\_

\_\_\_\_\_ Postcode \_\_\_\_\_

Sort code 

		-			-		
--	--	---	--	--	---	--	--

Account number 

--	--	--	--	--	--	--	--	--	--

Account name \_\_\_\_\_

### 4 Declaration and Authorisation

- a I apply to subscribe to the Liontrust ISA under the ISA regulations. My subscriptions to this ISA comprise my own money.
- b I declare that I am aged 18 or over and that I am resident and ordinarily resident in the United Kingdom for tax purposes or if not so resident, perform duties which, by virtue of Section 132(4)(a) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as performed in the United Kingdom, or am the spouse or civil partner of such a Crown employee, and I will inform Liontrust Fund Partners LLP if I cease to be so resident and ordinarily resident or to perform such duties.
- c I hereby authorise Liontrust Fund Partners LLP to:
  - i hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
  - ii on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any other cash.
- d I agree to be bound by the Terms and Conditions from time to time in force and give the warranties contained therein. A copy of the current Terms and Conditions has been supplied to me.
- e I declare that the information provided by me in this transfer form is to the best of my knowledge and belief complete and correct and I confirm that any changes in the information will be notified in writing to Liontrust Fund Partners LLP without delay.
- f I agree that the contract between me and Liontrust Fund Partners LLP shall be constituted by this completed transfer form, the Simplified Prospectus and the Terms and Conditions from time to time in force.

### 4 Declaration and Authorisation (continued)

- g If you are transferring to Liontrust Fund Partners LLP a Stocks and Shares ISA that was first subscribed to this tax year (starting 6th April 2011) you must additionally declare that: I have not and will not subscribe to any other Stocks and Shares ISA in the same year as I apply for this ISA.

Signature \_\_\_\_\_ Date \_\_\_\_\_

The Funds' Simplified Prospectus and the Liontrust ISA Terms and Conditions are available free of charge from Liontrust on request, or from [www.liontrust.co.uk](http://www.liontrust.co.uk).

### 5 Other important information

It is important to remember that the price of units, and the income from them, can fall as well as rise and are not guaranteed and that investors may not get back the amount originally invested. Past performance is not a guide to future performance. The issue of units in the Funds within the Liontrust ISA may be subject to an initial charge, which is likely to have an impact on the realisable value of the investment, particularly in the short term. You should always regard stock market investment as long-term. The levels and bases of, and reliefs from, taxation can change under future legislation and the value of such relief depends upon the investor. Any statement on taxation in any documentation pertaining to this ISA is based on our understanding of current legislation and tax practice at the time of issue.

### 6 Financial Adviser details (if applicable)

Only to be completed by a financial adviser connected with this application form.

The independent financial adviser who recommended this is entitled to receive commission from the monies to be invested in respect of this application form, subject to a maximum of 3% of the amount invested (depending upon the Fund(s) selected).

Please pay the agreed commission of \_\_\_\_\_%. If no commission is specified, the adviser will receive the maximum commission of 3%.

Adviser's details

FSA number \_\_\_\_\_

VAT registered no (if applicable) \_\_\_\_\_

Please tick this box if advice has been given and cancellation rights apply (Financial Services (Cancellation) Rules 1989).

### 7 Data Protection Act

We will not disclose any of your details outside the Liontrust Asset Management Group, its associated companies and sub contractors or agents acting on behalf of Liontrust Fund Partners LLP and its associated companies. Those details may be added to a mailing list to enable you to receive details of the Group's products. If you prefer not to receive such details please tick this box:

## 8 Anti-Money Laundering and Identity Verification

---

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing.

Liontrust Fund Partners LLP, registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Services Authority, January 2012.

---

## 9 Existing ISA details

---

ISA manager's name \_\_\_\_\_ Telephone number \_\_\_\_\_

ISA manager's address \_\_\_\_\_

\_\_\_\_\_ Postcode \_\_\_\_\_ Contact name \_\_\_\_\_

ISA account number(s) \_\_\_\_\_ Tax year(s) of ISA(s) to be transferred \_\_\_\_\_

## 10 Declaration to existing ISA manager

---

I authorise the manager of my existing ISA, named above, to sell my ISA holdings, as detailed in section 4, and transfer the net proceeds in cash to Liontrust Fund Partners LLP. I also authorise the manager to supply Liontrust Fund Partners LLP with any information and documentation as they may require in connection with this ISA transfer.

Title, first name and surname \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

### Data Protection Act 1998 – Use of information

Liontrust Fund Partners LLP ("Liontrust") is a data controller within the meaning of the Data Protection Act 1998. Liontrust and its Associates (as defined in Section 52 of the Companies Act 1989) may use the personal information supplied to provide the service applied for, confirm/update customer records and establish your identity. You have the right of access to your personal data held by Liontrust at any time, by contacting us in writing and upon payment of a fee. We would like to advise you, by mail, of other products and services being offered by us and our Associates which may be of interest to you. You may write to us at Liontrust Fund Partners LLP, 2 Savoy Court, London WC2R 0EZ at any time, if you no longer wish to receive such information.

### Anti-Money laundering

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to money laundering made thereunder and the Money Laundering Sourcebook of the Financial Services Authority. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person(s) applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. This includes information from the Electoral Register and fraud prevention agencies. We will use scoring methods to verify your identity. A record of this search will be kept by Experian and may be used to help other companies to verify your identity. This information may also be used by financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft and fraud. If you give us false or inaccurate information and we suspect fraud, we will record this and may share it with other organisations. Completion of the application form represents permission from you to access this information in accordance with the Data Protection Act 1998. Until Liontrust receives satisfactory evidence, it may need to delay processing your instruction and/or withhold any payments due to you in respect of your investment. It would assist with this process and help Liontrust to complete its verification checks if you could pay for any investment you make by a cheque drawn on your (or joint) personal bank account. Where you are investing money using a building society or banker's draft, please arrange for them to certify, on the back of the draft, the name of the client from whose account payment is being made. Liontrust reserves the right to refuse any application to subscribe to a unit trust without giving a reason for so doing.

Liontrust does not make or accept payments from third parties unauthorised by the Financial Services Authority.

Liontrust Fund Partners LLP is authorised and regulated by the Financial Services Authority.

