

LIONTRUST STOCKS AND SHARES ISA APPLICATION FORM

For the Tax Year 2012/13

Please complete this application form and send it with your cheque, made payable to **Liontrust Fund Partners LLP**, to:
Liontrust Customer Services Team, PO Box 11061, Chelmsford CM99 2YA
 If you have any queries, please call our Customer Services Team on **0844 892 1007**

If you have an existing Liontrust account, please enter the account number here: _____

1 Registration details

Title (Mr/Mrs/Miss/Ms/Other) _____ Surname _____
 Forenames (in full) _____
 Permanent residential address (c/o addresses are not permitted) _____
 _____ Postcode _____
 Telephone number _____ E-mail Address _____
 Date of birth ____/____/____ (DD/MM/YYYY) National Insurance Number ____/____/____/____/____

Note:

- An ISA may only be taken out in an individual's name. It cannot be held in joint names.
- You must supply your National Insurance Number and date of birth.
- If you do not have a National Insurance Number please tick this box:

2 Investment details

Liontrust Stocks and Shares ISA

Minimum lump sum	£1,000	Minimum monthly saving	£50
Maximum lump sum	£11,280	Maximum monthly saving	£940*

The maximum you may invest, either by lump sum investment and/or regular savings, with or without occasional voluntary additional lump sum investments, is £11,280 in the 2012-2013 tax year (ending 5th April 2013).

Please invest the following sums into the Fund(s) I have indicated below (tick one box only): Lump sum Regular savings

Liontrust European Absolute Return Fund (R) £ _____ (GB00B6100440)	Liontrust FTSE 100 Tracker (R) £ _____ (GB0007420895)
Liontrust European Growth Fund (R) £ _____ (GB00B1GKBD09)	Liontrust UK Growth Fund (R) £ _____ (GB0007014557)
Liontrust Income Fund (R) £ _____ (GB0007010795)	Liontrust UK Smaller Companies Fund (R) £ _____ (GB0007420788)
Liontrust Special Situations Fund (R) £ _____ (GB00B0N6YF70)	

Regular Savers: If you wish to commence your regular savings plan with a lump sum investment (minimum £500) please tick the box here.

Please indicate how much you wish to invest and the Fund(s) you wish to invest in by completing the details here: _____

If you wish to save regularly into your ISA you must complete the Direct Debit Mandate.

Direct Debits are collected from your bank or building society account on 15th of each month (or next business day).

* This is based on the assumption that the regular savings plan will run for twelve consecutive months in one tax year and is calculated on the maximum permitted subscription to an ISA of £11,280. If you commence your regular savings plan part way through the tax year you may increase the monthly amount to enable you to save up to the maximum overall permitted amount in the time remaining until the end of the tax year in question.

3 Income

All the Funds except Liontrust European Absolute Return Fund issue income units only. You can elect to have any income from your investment either paid out to you or reinvested. If you wish to have income paid out, please supply your bank details. If no election is made (by completing the details below), income will automatically be reinvested to purchase additional units which will be added to your holding. Liontrust European Absolute Return Fund issues Accumulation units only. Liontrust does not pay distributions by cheque.

Investors wishing to receive income must complete this section. If you wish to have all income paid out to you please supply your banking details. Payment is made by direct credit.

Bank or building society _____

Branch address _____

Postcode _____

Sort code - -

Account number

Account name _____

4 Declaration and Authorisation

- a I apply to subscribe to the Liontrust ISA under the ISA regulations for the tax year ended 5th April 2013 and in the case of monthly subscriptions every tax year until further notice. The first tax year in which this application form relates is 2012-2013. My subscriptions to this ISA comprise my own money.
- b I declare that I am aged 18 or over and that I am resident and ordinarily resident in the United Kingdom for tax purposes or if not so resident, perform duties which, by virtue of Section 132(4)(a) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as performed in the United Kingdom or am the spouse or civil partner of such a Crown employee, and I will inform Liontrust Fund Partners LLP if I cease to be so resident and ordinarily resident or to perform such duties.
- c I have not and will not subscribe to any other Stocks and Shares ISA in the same tax year as I apply for this ISA.
- d I hereby authorise Liontrust Fund Partners LLP to:
- i hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - ii on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any other cash; and
 - iii obtain independent verification of the details I have supplied in accordance with the Financial Services Authority's Money Laundering Sourcebook and the Data Protection Act 1998.
- e I agree to be bound by the Terms and Conditions from time to time in force and give the warranties contained therein. A copy of the current Terms and Conditions has been supplied to me.

f I declare that the information provided by me in this application form is to the best of my knowledge and belief complete and correct and I confirm that any changes in the information will be notified in writing to Liontrust Fund Partners LLP without delay.

g I agree that the contract between me and Liontrust Fund Partners LLP shall be constituted by this completed application form, the Simplified Prospectus and the Terms and Conditions from time to time in force.

Signature _____ Date _____

The Funds' Simplified Prospectus and the Liontrust ISA Terms and Conditions are available free of charge from Liontrust on request, or from www.liontrust.co.uk.

5 Other important information

It is important to remember that the price of units, and the income from them, can fall as well as rise and are not guaranteed and that investors may not get back the amount originally invested. Past performance is not a guide to future performance. The issue of units in the Funds within the Liontrust ISA may be subject to an initial charge, which is likely to have an impact on the realisable value of the investment, particularly in the short term. You should always regard stock market investment as long-term. The levels and bases of, and reliefs from, taxation can change under future legislation and the value of such relief depends upon the investor. Any statement on taxation in any documentation pertaining to this ISA is based on our understanding of current legislation and tax practice at the time of issue.

6 Financial Adviser details (if applicable)

Only to be completed by a financial adviser connected with this application form.

The independent financial adviser who recommended this is entitled to receive commission from the monies to be invested in respect of this application form, subject to a maximum of 3% of the amount invested (depending upon the Fund(s) selected).

Please pay commission of _____% (upto a maximum of 3%).

Adviser's details

FSA number _____

VAT registered no (if applicable) _____

Please tick this box if advice has been given and cancellation rights apply (Financial Services (Cancellation) Rules 1989).

7 Data Protection Act

We will not disclose any of your details outside the Liontrust Asset Management Group, its associated companies and sub contractors or agents acting on behalf of Liontrust Fund Partners LLP and its associated companies. Those details may be added to a mailing list to enable you to receive details of the Group's products. If you prefer not to receive such details please tick this box:

8 Anti-Money Laundering and Identity Verification

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing.

Liontrust Fund Partners LLP, registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Services Authority, April 2013.

9 Indicate redemption payment instructions

We are able to hold information on our system to enable us to pay the proceeds of a future redemption to a unit holder's bank account without charge, but we need to verify ownership of the account first. To enable us to do this please forward an original, or a certified copy of the unit holder's bank statement, no more than 3 months old, showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit holder's bank confirming the account details.

Please note that all payments will be made in the name of the registered unitholder only, no third party payment will be effected. Any omission to complete these details for redemption requests could result in late or non-payment of redemption proceeds, or we will be forced to settle by cheque.

Bank name _____ Sort code

		-			-		
--	--	---	--	--	---	--	--

Account name _____ Account number

--	--	--	--	--	--	--	--	--	--

I declare that I have read and understood the Simplified Prospectus relating to the Fund(s) indicated above.
I apply to invest in units of the Fund(s) indicated above according to the terms of the Prospectus.

Please sign and date below.:

Signature of sole investor _____ Date _____

Please note that if we have not previously paid the proceeds of a redemption to a unit holder's current bank account, we will need to verify ownership of the account. To enable us to do this please forward an original, or a certified copy of the unit holder's bank statement showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit holder's bank confirming the account details.

Data Protection Act 1998 – Use of information

Liontrust Fund Partners LLP ("Liontrust") is a data controller within the meaning of the Data Protection Act 1998. Liontrust and its Associates (as defined in Section 52 of the Companies Act 1989) may use the personal information supplied to provide the service applied for, confirm/update customer records and establish your identity. You have the right of access to your personal data held by Liontrust at any time, by contacting us in writing and upon payment of a fee. We would like to advise you, by mail, of other products and services being offered by us and our Associates which may be of interest to you. You may write to us at Liontrust Fund Partners LLP, 2 Savoy Court, London WC2R 0EZ at any time, if you no longer wish to receive such information.

Anti-Money laundering

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to money laundering made thereunder and the Money Laundering Sourcebook of the Financial Services Authority. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. This includes information from the Electoral Register and fraud prevention agencies. We will use scoring methods to verify your identity. A record of this search will be kept by Experian and may be used to help other companies to verify your identity. This information may also be used by financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft and fraud. If you give us false or inaccurate information and we suspect fraud, we will record this and may share it with other organisations. Completion of the application form represents permission from you to access this information in accordance with the Data Protection Act 1998. Until Liontrust receives satisfactory evidence, it may need to delay processing your instruction and/or withhold any payments due to you in respect of your investment. It would assist with this process and help Liontrust to complete its verification checks if you could pay for any investment you make by a cheque drawn on your (or joint) personal bank account. Where you are investing money using a building society or banker's draft, please arrange for them to certify, on the back of the draft, the name of the client from whose account payment is being made. Liontrust reserves the right to refuse any application to subscribe to a unit trust without giving a reason for so doing.

Liontrust does not make or accept payments from third parties unauthorised by the Financial Services Authority.

Liontrust Fund Partners LLP is authorised and regulated by the Financial Services Authority.



Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form including official use box using a ball point pen and send it to:

Liontrust Customer Services Team
 PO Box 11061
Chelmsford CM99 2YA

Service user number

2	7	3	9	8	1
---	---	---	---	---	---

FOR LIONTRUST FUND PARTNERS LLP OFFICIAL USE ONLY
 This is not part of the instruction to your bank or building society.

Name(s) of account holder(s)

Bank/building society account number

--	--	--	--	--	--	--	--	--	--

Branch sort code

--	--	--	--	--	--

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Instruction to your bank or building society

Please pay Liontrust Fund Partners LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Liontrust Fund Partners LLP and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD11

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Liontrust Fund Partners LLP will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Liontrust Fund Partners LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Liontrust Fund Partners LLP or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
 - If you receive a refund you are not entitled to, you must pay it back when Liontrust Fund Partners LLP asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.