

LIONTRUST ACCOUNT OPENING APPLICATION FORM



This account opening application form can be used to buy the Liontrust funds listed on the fund sheet at the end of the document. Please complete this application form and send to Liontrust Fund Partners LLP.

Important

Before you complete and sign the form, please make sure you have received and read an up-to-date version of the Key Investor Information Document ('KIID') for each Fund in which you want to invest, as well as the Supplementary Information Document ('SID') which provides general guidance. These important documents are available from Liontrust Fund Partners LLP or can be downloaded from our website at www.liontrust.co.uk.

Your investment will be governed by the terms of this application, the Prospectus, the relevant KIID of the fund(s) and our terms and conditions which can be found within the relevant SID.

Please complete, where relevant, ALL sections of this Application Form either electronically or by hand in BLOCK CAPITALS and black ink. Please note that all signature sections will need to be signed by hand. Return completed forms to your authorised financial advisor, or to: Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ.

Settlement via Cheque:

Please provide a personal cheque in settlement for your chosen investment(s) payable to Liontrust Fund Partners LLP and post your application to: Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ.

Settlement via Direct Bank Transfer:

If you would prefer to pay via direct bank transfer to our Dealing Account, please contact LionTA@Liontrust.co.uk before posting this application and one of our team will contact you with details of how to arrange this.

If you have any questions regarding this form, please email liontrustadmin@bnymellon.com or call Liontrust Fund Partners LLP on 0344 892 0349. Our lines are open Monday to Friday, 9.00am to 5.00pm. For your protection, telephone calls are usually recorded.

1 FIRST HOLDER DETAILS

All applications must complete this section. You must be 18 or over to invest.

Please tick if you are an existing Liontrust investor: ☐

EXISTING ACCOUNT NUMBER (IF APPLICABLE)

TITLE (MR / MRS / MISS / OTHER)

SURNAME

FORENAMES (IN FULL)

FULL PERMANENT ADDRESS

TOWN / CITY

POSTCODE

TELEPHONE NUMBER

EMAIL ADDRESS

DATE OF BIRTH

NATIONAL INSURANCE NUMBER (NINO) / NATIONAL PENSIONS NUMBER

If you do not have a NINO, please tick this box: ☐

REGISTERED NAME (IF NOT AN INDIVIDUAL E.G COMPANY NAME)

EMAIL ADDRESS

COUNTRY OF TAX RESIDENCE*

PLUS TAX REFERENCE NUMBER**

1 FIRST HOLDER DETAILS (CONTINUED)

IF THE HOLDING IS TO BE DESIGNATED TO ANOTHER PERSON OR ACCOUNT NAME OR IF YOUR APPLICATION IS ON BEHALF OF A CHILD, PLEASE PROVIDE DETAILS HERE (24 CHARACTERS MAX)

CHILD'S INITIALS

Children under the age of 18 may not hold shares or units in Liontrust Funds in their own name, although a person over the age of 18 can invest in a designated account on their behalf.

If you hold or have ever held a political function or are related to anyone holding any political function, please tick the box. ☐

* If you are dual registered for tax purposes, please state all countries and tax reference numbers.

** If your country of tax residence is UK or Isle of Man, your tax reference number is your national insurance number and you do not have to complete this box. If you are tax resident in Jersey, Guernsey or Gibraltar it is your Social Security Number. For other jurisdictions you may use any of these or a citizen or personal identification number or resident registration number. Please provide additional information for further joint holders on a separate sheet of paper.

2 JOINT HOLDER DETAILS

For a joint holder, please complete details below. Please note: in the case of joint holders, all payments and correspondence will be sent to the first named holder unless otherwise requested. Up to two additional joint holders should give names as below and sign on a separate sheet of paper.

Please tick if you are an existing Liontrust investor: ☐

ACCOUNT NUMBER (IF KNOWN)

TITLE (MR / MRS / MISS / OTHER)

SURNAME

FORENAMES (IN FULL)

FULL PERMANENT ADDRESS

TOWN / CITY

POSTCODE

TELEPHONE NUMBER

EMAIL ADDRESS

DATE OF BIRTH

NATIONAL INSURANCE NUMBER (NINO) / NATIONAL PENSIONS NUMBER

DD / MM / YYYY

If you do not have a NINO, please tick this box: ☐

REGISTERED NAME (IF NOT AN INDIVIDUAL E.G COMPANY NAME)

EMAIL ADDRESS

COUNTRY OF TAX RESIDENCE*

PLUS TAX REFERENCE NUMBER**

If you hold or have ever held a political function or are related to anyone holding any political function, please tick the box: ☐

* If you are dual registered for tax purposes, please state all countries and tax reference numbers.

** If your country of tax residence is UK or Isle of Man, your tax reference number is your national insurance number and you do not have to complete this box. If you are tax resident in Jersey, Guernsey or Gibraltar it is your Social Security Number. For other jurisdictions you may use any of these or a citizen or personal identification number or resident registration number. Please provide additional information for further joint holders on a separate sheet of paper.

3 BENEFICIAL OWNERS

Individual Self-Certification

We are required under the UK Anti-Money Laundering legislation to obtain details of beneficial owners or controllers who own or control more than 25% of the voting rights or are entitled to more than 25% of the company's profits. We are also required to establish the identity of any Directors, Trustees or other Controllers that are not named on the application form. Should the applicant not be directly owned by a natural person(s), please send details of the ownership structure to us and upon receipt we will advise you of any further information that may be required from you. For applicants acting as Trustee(s) (or similar), please provide details of all Settlers (even if deceased), any further Trustees or others (including Protectors) that have any control over the management of the trust or arrangement, and any named individuals or classes that have a potential entitlement to 25% or more of the property of the trust assets (even where discretion exists).

NAME OF ACCOUNT HOLDER(S) AS PER APPLICATION FORM

UNIT / SHAREHOLDER ACCOUNT NUMBER (IF KNOWN)

3 BENEFICIAL OWNERS (CONTINUED)

NAME & TITLE	PERMANENT RESIDENTIAL ADDRESS	DATE OF BIRTH	CAPACITY*
		DD / MM / YYYY	
		Please confirm whether you are a Politically Exposed Person**.	<input type="checkbox"/>
		DD / MM / YYYY	
		Please confirm whether you are a Politically Exposed Person**.	<input type="checkbox"/>
		DD / MM / YYYY	
		Please confirm whether you are a Politically Exposed Person**.	<input type="checkbox"/>
		DD / MM / YYYY	
		Please confirm whether you are a Politically Exposed Person**.	<input type="checkbox"/>

*e.g. trustee, settlor, beneficiary, partner, shareholder etc.

**A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 12 months, been entrusted with a prominent public function.

Please also provide below details of any other Directors or Controllers who exercise control over the management of the corporate body, partnership or trust who are not beneficial owners.

NAME & TITLE	PERMANENT RESIDENTIAL ADDRESS	DATE OF BIRTH
		DD / MM / YYYY
		DD / MM / YYYY
		DD / MM / YYYY
		DD / MM / YYYY

4 REDEMPTION PROCEEDS

We are able to hold information on our system to enable us to pay the proceeds of future redemptions to a unit/shareholder's bank account without charge, but we need verify ownership of the account first. To enable us to do this please forward an original, or a certified copy of the unit/shareholder's bank statement, no more than 3 months old, showing the account details; or a paying in slip; or a cancelled cheque or a letter from the unit or shareholder's bank confirming the account details. Please note that all payments will be made in the name of the registered of a unit/shareholder only, no third-party payment will be undertaken. Any omission to complete these details for redemption requests could result in late or a non-payment of redemption proceeds, or we will be forced to settle by cheque.

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY WHERE YOU WANT PROCEEDS PAID

BRANCH SORT CODE

BANK OR BUILDING SOCIETY ACCOUNT NUMBER

NAME(S) OF ACCOUNT HOLDER(S)

BUILDING SOCIETY ROLL NUMBER

POSTCODE

5 ANTI-MONEY LAUNDERING AND IDENTITY VERIFICATION

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe without giving a reason for so doing. Liontrust Fund Partners LLP registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Conduct Authority (No 518165).

6 INDIVIDUAL SELF-CERTIFICATION

Tax Residency

Tax regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you have any questions about your tax residency², please contact your tax adviser.

COUNTRY / COUNTRIES OF TAX RESIDENCY	TAX IDENTIFICATION NUMBER*	PLACE OF BIRTH

1. The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
2. In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
3. Those countries that have agreed to exchange information under FATCA and the CRS1.

* If you are a UK Tax resident and not a tax resident anywhere else, you are not required to provide details of your 'Tax Identification Number' or 'Date of Birth', or if you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your 'Tax Identification Number' or 'Date of Birth'.

7 PRIVACY AND DATA PROTECTION

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. We will treat your information as private and confidential and your details, including dealings with us, will not be disclosed to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so or otherwise as agreed with you.

The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 2018. We may hold and process your information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Liontrust group. Your personal information may be passed to and used by companies within the Liontrust group and third parties including credit referencing, tax, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries where different levels of data protection apply. This would only occur as a necessary and proportionate measure, carried out for the purposes of our statutory obligations.

Please contact us for an up-to-date list of the current members of the Liontrust group or if you wish to receive marketing material. Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser. Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You have the right to access the information we hold about you at any time to check whether it is accurate and up to date. Please contact the person responsible for data protection at Liontrust for these purposes, at dataprotection@liontrust.co.uk.

For further information on what information Liontrust holds, why we hold it and what we do with it, please refer to our Privacy Notice at www.liontrust.co.uk.

8 INTERMEDIARY DETAILS

To be completed by hand by an intermediary connected with this application form.

By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business. The most recent version is available on the home page at www.liontrust.co.uk.

LIONTRUST ADVISER CODE (IF KNOWN) AND FCA NUMBER

SIGNATURE / STAMP

Tick here if investment advice has been provided and paid for in relation to this investment and if cancellation rights apply. ☐

Tick here if acting on an execution only basis. ☐

Liontrust Fund Partners LLP (2 Savoy Court, London WC2R 0EZ)

Authorised and regulated in the UK by the Financial Conduct Authority (FRN 518165) to undertake regulated investment business.

9 DECLARATION

Declaration and Authorisation. This section must be completed by hand.

Note: Liontrust Fund Partners LLP is unable to assess or provide advice on the suitability of this product for you or your individual circumstances, and therefore you will not benefit from the investor protections under the FCA rules on assessing suitability. If you have any questions about the suitability of this investment for you and would like to benefit from the additional protections provided under the FCA's rules on suitability, then please contact an authorised financial adviser.

- (a) I/we have received and read an up-to-date version of the relevant Key Investor Information Document(s) ('KIID') as well as the Supplementary Information Document* for the fund(s) I/we wish to invest in.
- (b) I/we apply for shares or units in the fund(s) and request Liontrust act in accordance with my/our instructions.
- (c) I am/we are over the age 18 and I am/we are the beneficial owner(s) of this investment.

OR

- (d) I/we have named below the beneficial owner(s) of this investment and confirm that they are over the age of 18 and are known to me/ us and I/we undertake to make those named below aware that they may be subject to the same data protection and identify verification provisions as outlined in this application form.
- (e) I am/we are not a U.S. person, or a resident of the United States of America and I/we do not have an obligation to pay tax to the US tax authorities on my / our worldwide income. I/we will notify you immediately if I/we become resident in the United States of America at any time while I am/we are an investor in a Liontrust fund.

- (f) I/we consent to your processing of my/our personal data as described in section 7 above. I/We authorise you to transfer my/our information to other companies in the Liontrust group and to relevant third parties and agree that you or they may contact me/us by e-mail, telephone or post (where I/We have given you these contact details) to inform me/us about your products, services and events and those of other companies in the Liontrust group. I/We understand that I/we can contact you at any time to request you not to contact me/us for these purposes.
- (g) I/we understand that you may use an external agency in order to obtain evidence of my/our identity. I/We confirm that, upon request, we will provide you or your agent with any further information reasonably required to verify our identity or which may be required to be provided to HM Revenue & Customs.
- (h) (where applicable) I/we have received information regarding the cost of advice provided from a financial adviser.
- (i) I/we understand that, with respect to client money, you operate under the delivery versus payment exemptions as detailed in the Prospectus.

Please note: We or our agent may contact you in order to verify your identity and/or obtain further information from you. We reserve the right to withhold redemption proceeds or freeze your account until you have provided satisfactory proof of identity. In addition, the non-provision of information may result in mandatory redemption of Shares or Units or other appropriate action taken at its discretion in accordance with the constitutional documents of the Company. Unit/Shareholders refusing to provide the requisite information may also be reported to HM Revenue & Customs. Tax Regulations require us to collect information about each investor's tax residency. Additional versions of self-certification forms for individuals are available to download from our website.

In certain circumstances, including if we do not receive a valid self-certification declaration, we may be obliged to share information on an account with HMRC.

9. DECLARATION (CONTINUED)

SIGNATURE (FIRST-NAMED HOLDER)

PLEASE DATE

DD / MM / YYYY

SIGNATURE (SECOND JOINT HOLDER)

PLEASE DATE

DD / MM / YYYY

SIGNATURE (THIRD JOINT HOLDER)

PLEASE DATE

DD / MM / YYYY

SIGNATURE (FOURTH JOINT HOLDER)

PLEASE DATE

DD / MM / YYYY

10. INCOME AND ACCUMULATION CLASSES

Some Liontrust fund issue Income units or shares only where distribution income can be paid to you or re-invested. Some funds issue Accumulation units or shares only where the distribution income is reflected in the fund price. Some funds issue both Income and Accumulation units or shares. Please refer to the relevant fund Prospectus for further information.

Where only an Income class is available for a fund, if your bank details are provided below, we will buy the Income class for you and set your account so any future distributions are paid in cash by direct credit directly to your bank account on the fund distribution dates as set out in the Prospectus. Where only an Income class is available for a fund and you do not provide your bank details below, we will set your account so any future distributions will be re-invested to buy additional units or shares at no extra charge.

Where only an Accumulation class is available for a fund, we will buy the Accumulation class for you.

Where both Income and Accumulation classes are available for a fund, if your bank details are provided below, we will assume you wish to receive the distribution income and we will buy the Income class for you and set your account so any future distributions are paid in cash by direct credit to your bank account on the fund distribution dates as set out in the Prospectus. Where you do not provide your bank details below, we will assume you do not require income to be paid out to you and we will buy the Accumulation class for you.

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY WHERE YOU WANT PROCEEDS PAID

BRANCH SORT CODE

BANK OR BUILDING SOCIETY ACCOUNT NUMBER

NAME(S) OF ACCOUNT HOLDER(S)

POSTCODE

BUILDING SOCIETY ROLL NUMBER

11 INVESTMENT DETAILS

Please indicate how the fund(s) and the amount(s) you wish to invest. See Liontrust Fund Prospectuses available at www.liontrust.co.uk for further details.

Liontrust Funds	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Balanced Fund Class B	Accum		
Liontrust Balanced Fund Class B	Income		
Liontrust China Fund Class B	Accum		
Liontrust Emerging Markets Fund Class C	Accum		
Liontrust European Dynamic Fund Class B	Income		
Liontrust Global Alpha Fund Class B	Accum		
Liontrust Global Dividend Fund Class B	Income		
Liontrust Global Innovation Fund Class B	Accum		
Liontrust Global Innovation Fund Class B	Income		
Liontrust Global Smaller Companies Fund Class C	Accum		
Liontrust Global Technology Fund Class C	Accum		
Liontrust Income Fund Class B	Accum		
Liontrust Income Fund Class B	Income		
Liontrust India Fund Class C	Accum		
Liontrust Japan Equity Fund Class B Hedged	Accum		
Liontrust Latin America Fund Class C	Accum		
Liontrust MA Blended Growth Fund Class A	Accum		
Liontrust MA Blended Intermediate Fund Class A	Income		
Liontrust MA Blended Intermediate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Income		
Liontrust MA Blended Progressive Fund Class A	Accum		
Liontrust MA Blended Reserve Fund Class A	Income		
Liontrust MA Blended Reserve Fund Class A	Accum		
Liontrust MA Diversified Real Assets Class D	Accum		
Liontrust MA Diversified Real Assets Class D	Income		
Liontrust MA Dynamic Passive Adventurous Class A	Accum		
Liontrust MA Dynamic Passive Growth Class A	Accum		
Liontrust MA Dynamic Passive Intermediate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Income		
Liontrust MA Dynamic Passive Progressive Class A	Accum		
Liontrust MA Dynamic Passive Prudent Class A	Accum		
Liontrust MA Dynamic Passive Reserve Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Income		
Liontrust MA Explorer 85 Fund Class A	Income		
Liontrust MA Explorer 85 Fund Class A	Accum		
Liontrust MA Explorer 100 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Income		
Liontrust MA Explorer Income 45 Fund Class A	Income		
Liontrust MA Explorer Income 45 Fund Class A	Accum		
Liontrust MA Monthly High Income Fund Class A	Income		
Liontrust MA Monthly High Income Fund Class A	Accum		
Liontrust MA UK Equity Fund Class A	Accum		

1.1 INVESTMENT DETAILS

Liontrust MA UK Equity Fund Class A	Income		
Liontrust MA Explorer 35 Fund Class A	Income		
Liontrust MA Explorer 35 Fund Class A	Accum		
<i>Liontrust Russia Fund Class B*</i>	Accum		
Liontrust SF Cautious Managed Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 6	Accum		
Liontrust SF Defensive Managed Fund Class 2	Income		
Liontrust SF European Growth Fund Class 2	Accum		
Liontrust SF Global Growth Fund Class 2	Accum		
Liontrust SF Managed Fund Class 2	Income		
Liontrust SF Managed Fund Class 6	Accum		
Liontrust SF Managed Growth Fund Class 2 Net	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Income		
Liontrust SF UK Growth Fund Class 2	Accum		
Liontrust Special Situations Fund Class B	Income		
Liontrust Strategic Bond Fund Class B	Accum		
Liontrust Strategic Bond Fund Class B	Income		
Liontrust UK Ethical Fund Class 2	Accum		
Liontrust UK Growth Fund Class B	Income		
Liontrust UK Micro-Cap Fund Class I	Accum		
Liontrust UK Smaller Companies Fund Class R	Income		
Liontrust US Opportunities Fund Class B	Accum		
Overall Total Investment			

Regular Savers: If you wish to commence your regular savings plan with a lump sum investment (minimum £500) please tick the box here: ☐

If you wish to save regularly you must complete the Direct Debit Mandate. Direct Debits are collected from your bank or building society account on 15th of each month (or next business day). Please add together any amounts entered above in the total box. Please make your cheque payable to Liontrust Fund Partners Limited.

*Note: * Liontrust, in agreement with the depositary and with the knowledge of our regulator, the Financial Conduct Authority (FCA), has made the decision to temporarily suspend dealing in the Russia Fund. Liontrust will keep the suspension under continual review.*

Instruction to your bank or building society to pay by direct debit

Please fill in the whole form including official use box using a ball point pen and send it to:

Liontrust Customer Services Team
Po Box 373,
Darlington, DL1 9RQ

Service user number

2	7	3	9	6	6
---	---	---	---	---	---

Name(s) of account holder(s)

Bank / building society account number

--	--	--	--	--	--	--	--

Branch sort code

--	--	--	--	--	--

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

FOR LIONTRUST FUND PARTNERS LLP OFFICIAL USE ONLY
This is not part of the instruction to your bank or building society.

Instruction to your bank or building society

Please pay Liontrust Fund Partners LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Liontrust Fund Partners LLP and, if so, details will be passed electronically to my bank/building society.

Signature(s)

--

Date

--

Banks and building societies may not accept Direct Debit Instructions for some types of account

DDI1

This guarantee should be detached and retained by the payer

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Liontrust Fund Partners LLP will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Liontrust Fund Partners LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Liontrust Fund Partners LLP or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Liontrust Fund Partners LLP asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.