

# LIONTRUST ADDITIONAL PERMITTED SUBSCRIPTION (APS) ISA TRANSFER FORM

Allowance Transfer Form (Stocks and Shares only) to transfer an APS allowance to the Liontrust APS ISA for investment into the Liontrust Funds

Additional permitted subscriptions (APS) on top of the annual ISA subscription limit are available to the surviving spouse or civil partner of an ISA investor who passed away on or after 3 December 2014.

Please complete, where relevant, ALL sections of this Application Form by hand in BLOCK CAPITALS and black ink. Please note that all signature sections will need to be signed by hand. Return completed forms should be sent to Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ. If you have an authorised financial advisor they may require you to send the form to them in the first instance.

If you have any queries, please contact our Customer Services Team:

Telephone: 0344 892 0349 (overseas +44 203 528 4110)

Facsimile: 020 7964 2562

E-mail: [liontrustadmin@bnymellon.com](mailto:liontrustadmin@bnymellon.com) Website: [www.liontrust.co.uk](http://www.liontrust.co.uk)

Before you complete and sign the form, please make sure you have received and read and understood the information and risks presented on an up-to-date version of the Key Investor Information Document ('KIID') for each Fund in which you want to invest, as well as the Supplementary Information Document ('SID') which provides general guidance. These important documents are available from Liontrust Fund Partners LLP or can be downloaded from our website at [www.liontrust.co.uk](http://www.liontrust.co.uk).

This Form can be used if your late spouse (\*) held an ISA with another ISA Plan Manager and you wish to transfer the APS allowance due to you from that ISA Plan Manager to your Liontrust APS ISA. Only the allowance can be transferred and not the assets held in the deceased's ISA. After the APS allowance has been transferred to Liontrust you will be able to make cash subscriptions to your Liontrust APS ISA up to your APS allowance.

Please complete the information required below and return this Form to us. We will then forward this authority to the ISA Plan Manager(s) of your late spouse, as detailed, to request the transfer of the APS allowance (which will be the value of your late spouses ISA with such ISA Plan Manager(s) on the date of death) to your proposed Liontrust APS ISA. We will then inform you after the APS allowance has been transferred.

You will then need to complete and return to us the Liontrust Additional Permitted Subscription (APS) ISA Application Form, which will include your investment instructions detailing the Liontrust Funds you wish to invest in, together with your cheque for the amount to be invested.

You can only invest once your allowance has been transferred.

If the deceased spouse had more than one ISA with other ISA Plan Managers and you want to transfer any APS allowance available to you from those ISA Plan Managers to your Liontrust APS ISA, please complete and return a separate Liontrust APS ISA Transfer Authority Form for each ISA held with those ISA Plan Managers.

Please be aware that once a subscription to an APS allowance has been made, any future additional subscriptions under that APS allowance MUST be made to that same ISA manager (i.e. Liontrust Fund Partners LLP) and be accompanied by an appropriate Application Form (which includes a properly completed APS Subscription Eligibility Declaration).

Please note if multiple ISAs were held by the deceased with Liontrust and/or any other ISA Plan Manager at the time of death their value will be combined to form one APS allowance for your APS ISA.

(\*) references to 'spouse' also include civil partner.

## 1 APPLICATION TO OPEN A LIONTRUST APS ISA AND TO TRANSFER THE APS ALLOWANCE FROM ANOTHER ISA

### Plan Manager

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

### Your Personal Details

TITLE (MR / MRS / MISS / OTHER)

SURNAME

FORENAMES (IN FULL)

FULL PERMANENT ADDRESS

TOWN / CITY

POSTCODE

TELEPHONE NUMBER

EMAIL ADDRESS

DATE OF BIRTH

NATIONALITY

DD / MM / YYYY

NATIONAL INSURANCE NUMBER (NINO)\*

If you do not have a NINO, please tick this box: ☐

\*You should be able to find your NI number on a payslip, P45 or P60 form, a letter from the HM Revenue & Customs, a letter from the DWP or pension order book.

If you have an existing Liontrust ISA, please insert your account number here:

## 2 TRANSFER AUTHORITY FORM

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

### APS Allowance Transfer Information Details of the Deceased

TITLE (MR / MRS / MISS / OTHER)	SURNAME	FORENAMES (IN FULL)
<input type="text"/>	<input type="text"/>	<input type="text"/>
FULL PERMANENT ADDRESS		
<input type="text"/>		
TOWN / CITY		POSTCODE
<input type="text"/>		<input type="text"/>
DECEASED DATE OF BIRTH	DATE OF DEATH	
<input type="text" value="DD / MM / YYYY"/>	<input type="text" value="DD / MM / YYYY"/>	
DATE OF MARRIAGE OR CIVIL PARTNERSHIP BETWEEN THE INVESTOR AND THE DECEASED		<input type="text" value="DD / MM / YYYY"/>
NATIONAL INSURANCE NUMBER (NINO)*		
<input type="text"/>		If you do not have a NINO for the deceased, please tick this box: <input type="checkbox"/>
ISA MANAGER OF DECEASED SPOUSE ('DECEASED ISA MANAGER')		ADDRESS OF THE ISA MANAGER
<input type="text"/>		<input type="text"/>
		<input type="text"/>
		<input type="text"/>

Please be aware that an APS allowance can only be transferred once and only where no subscriptions have been made under that APS allowance. Once transferred subscriptions may only be made in cash.

## 3 APS ELIGIBILITY DECLARATION

This section must be completed by hand.

This section must be completed to confirm the investor named on this authority is eligible to transfer an additional permitted subscription allowance in respect of the deceased named on this authority.

I (the investor) declare that:

- I am the surviving spouse / civil partner of the deceased.
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of death of the deceased (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down).
- I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application.
- I intend to make an additional permitted subscription application to an ISA with Liontrust Fund Partners LLP.

I authorise the existing ISA provider of the deceased as specified above to provide Liontrust Fund Partners LLP with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the surviving spouse investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

SIGNATURE

DATE



Please confirm whether you are a Politically Exposed Person\*: ☐

\* Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. A non-exhaustive list of examples includes; members of parliament, high ranking officers in the armed forces, members of the administrative, management or supervisory boards of government or state-owned enterprises, senior figures in international organisations.

#### 4 INTERMEDIARY DETAILS (IF APPLICABLE)

This section only needs to be completed if you have an appointed financial adviser that has given you advice in regard to the ISA being opened.

By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business. The most recent version is available on the home page at [www.liontrust.co.uk](http://www.liontrust.co.uk).

LIONTRUST ADVISER CODE (IF KNOWN) AND FCA NUMBER

SIGNATURE / STAMP

Tick here if investment advice has been provided and paid for in relation to this investment and if cancellation rights apply. ☐

Tick here if acting on an execution only basis. ☐

#### 5 TRANSFER ACCEPTABLE

We, Liontrust Fund Partners LLP are willing to accept this APS allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Liontrust Fund Partners LLP,  
2 Savoy Court, London WC2R 0EZ  
Client Services 020 7412 1777

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Email [liontrustadmin@bnymellon.com](mailto:liontrustadmin@bnymellon.com) Website [www.liontrust.co.uk](http://www.liontrust.co.uk)  
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