

# LIONTRUST JUNIOR ISA TRANSFER FORM

Please complete, where relevant, ALL sections of this Application Form by hand in BLOCK CAPITALS and black ink. Please note that all signature sections will need to be signed by hand. Return completed forms should be sent to Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ. If you have an authorised financial advisor they may require you to send the form to them in the first instance.

If you have any queries, please contact our Customer Services Team:

Telephone: 0344 892 0349 (overseas +44 203 528 4110)

Facsimile: 020 7964 2562

E-mail: [liontrustadmin@bnymellon.com](mailto:liontrustadmin@bnymellon.com) Website: [www.liontrust.co.uk](http://www.liontrust.co.uk)

## 1 REGISTRATION DETAILS

### 1a Applicant's details (Parent or guardian with parental responsibilities who is applying to open a Junior ISA for the child mentioned in section 1b)

TITLE (MR / MRS / MISS / OTHER)	SURNAME	FORENAMES (IN FULL)
<input type="text"/>		
PERMANENT RESIDENTIAL ADDRESS (C/O ADDRESSES ARE NOT PERMITTED)		
<input type="text"/>		
TOWN / CITY		POSTCODE
<input type="text"/>		<input type="text"/>
TELEPHONE NUMBER	EMAIL ADDRESS	
<input type="text"/>	<input type="text"/>	

### 1b Child's details

TITLE (MR / MRS / MISS / OTHER)	SURNAME	FORENAMES (IN FULL)
<input type="text"/>		
ADDRESS		
<input type="text"/>		
TOWN / CITY		POSTCODE
<input type="text"/>		<input type="text"/>
DATE OF BIRTH		
<input type="text" value="DD / MM / YYYY"/>		
NATIONAL INSURANCE NUMBER (NINO)*		
<input type="text"/>		

\*If you do not have a NINO, please tick this box: ☐

If you have an existing Liontrust ISA, please insert your account number here:

## 2 OTHER IMPORTANT INFORMATION

Monies deposited into a JISA cannot be withdrawn or transferred until the nominated child attains 18 years of age. The child can have access to the account and can control it themselves from 16 years of age.

It is important to remember that the price of units, and the income from them, can fall as well as rise and are not guaranteed and that investors may not get back the amount originally invested. Past performance is not a guide to future performance. You should always regard stock market investment as long-term.

## 3 TAX

The levels and bases of, and reliefs from, taxation can change under future legislation and the value of such relief depends upon the investor. Any statement on taxation in any documentation pertaining to this ISA is based on our understanding of current legislation and tax practice at the time of issue.



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## 4 INTERMEDIARY DETAILS (IF APPLICABLE)

This section only needs to be completed if you have an appointed financial adviser that has given you advice in regard to the ISA being opened.

By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business. The most recent version is available on the home page at [www.liontrust.co.uk](http://www.liontrust.co.uk).

LIONTRUST ADVISER CODE (IF KNOWN) AND FCA NUMBER

SIGNATURE / STAMP

Tick here if investment advice has been provided and paid for in relation to this investment and if cancellation rights apply. ☐

Tick here if acting on an execution only basis. ☐

## 5 PRIVACY AND DATA PROTECTION

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. We will treat your information as private and confidential and your details, including dealings with us, will not be disclosed to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so or otherwise as agreed with you.

The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 2018. We may hold and process your information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Liontrust group. Your personal information may be passed to and used by companies within the Liontrust group and third parties including credit referencing, tax, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries where different levels of data protection apply. This would only occur as a necessary and proportionate measure, carried out for the purposes of our statutory obligations.

Please contact us for an up to date list of the current members of the Liontrust group or if you wish to receive marketing material. Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser. Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You have the right to access the information we hold about you at any time to check whether it is accurate and up to date. Please contact the person responsible for data protection at Liontrust for these purposes, at [dataprotection@liontrust.co.uk](mailto:dataprotection@liontrust.co.uk).

For further information on what information Liontrust holds, why we hold it and what we do with it, please refer to our Privacy Notice at [www.liontrust.co.uk](http://www.liontrust.co.uk).

## 6 ANTI-MONEY LAUNDERING AND IDENTITY VERIFICATION

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing. Liontrust Fund Partners LLP registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Conduct Authority (No 518165).

Liontrust does not make or accept payments from third parties unauthorised by the Financial Conduct Authority.



## 7 DECLARATION

**Declaration and Authorisation. This section must be completed by hand.**

- a. I apply to subscribe to the Liontrust Junior ISA under the Junior ISA regulations for the tax year ending 5th April 2024 and in the case of monthly subscriptions every tax year thereafter until I give further notice. The first tax year in which this application form relates is 2023/24. My subscription to this Junior ISA is comprised of my own money.
- b. I declare that I am aged 18 or over and that I am a resident in the United Kingdom for tax purposes, perform duties which, by virtue of Section 132(4) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as performed in the United Kingdom, or I am the spouse or civil partner of such a Crown employee, and I will inform Liontrust Fund Partners LLP if I cease to be so resident or to perform such duties.
- c. I have not subscribed and will not subscribe more than the annual subscription limit in total to permitted JISAs in the same tax year. I have not subscribed, and will not subscribe, to another Stocks & Shares JISA in the same tax year that I subscribe to this Stocks & Shares JISA. I hereby authorise Liontrust Fund Partners LLP to:
  - (i) hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
  - (ii) obtain independent verification of the details I have supplied in accordance with the Financial Conduct Authority's Money Laundering Sourcebook and the Data Protection Act 2018.
- e. I confirm agreement to all Client Money obligations and requirements as detailed in the Liontrust Prospectus.
- f. I agree to be bound by the Junior ISA Terms and Conditions from time to time in force and give the warranties contained therein. A copy of the current Junior ISA Terms and Conditions is contained within the Liontrust Supplementary Information Document and is available to download at [www.liontrust.co.uk](http://www.liontrust.co.uk). (For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information prior to investing.)
- g. I declare that the information provided by me in this application form is to the best of my knowledge and belief complete and correct and I confirm that any changes in the information will be notified in writing to Liontrust Fund Partners LLP without delay.
- h. I agree that the contract between me and Liontrust Fund Partners LLP shall be constituted by this completed application form, the Key Investor Information Document (KIID) and the Junior ISA Terms and Conditions from time to time in force.
- i. I declare that I have read the Key Investor Information Document (KIID) relating to the Funds I am investing in and that I am signing this declaration, only after having all questions I had beforehand, fully explained to me.
- j. I declare that I am the parent of the child named in this application/appointed guardian with legal parental responsibility for the child named in this application.

SIGNATURE

DATE

Please confirm whether you are a Politically Exposed Person\*: ☐

\* Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. A non-exhaustive list of examples includes; members of parliament, high ranking officers in the armed forces, members of the administrative, management or supervisory boards of government or state-owned enterprises, senior figures in international organisations.

The Fund's Key Investor Information Document (KIID) and the Liontrust ISA Terms and Conditions are available free of charge from Liontrust on request, or from [www.liontrust.co.uk](http://www.liontrust.co.uk).

## 8 EXISTING JUNIOR ISA DETAILS

JUNIOR ISA MANAGER'S NAME

TELEPHONE NUMBER

JUNIOR ISA MANAGER'S ADDRESS

TOWN / CITY

POSTCODE

CONTACT NAME

JUNIOR ISA ACCOUNT NUMBER(S)

Please transfer the whole of my existing Junior ISA: ☐

Please transfer part of my Junior ISA. The cash amount to be transferred is:

£

Please transfer all Junior ISA monies, in full, from the Tax Year(s) indicated above: ☐

Please transfer my Liontrust holdings held with you as my existing ISA manager, to Liontrust Fund Partners LLP, my new ISA manager on an in-specie basis: ☐



## 9 DECLARATION TO EXISTING JUNIOR ISA MANAGER

**This section must be completed by hand.**

I authorise the manager of my existing Junior ISA, named above, to transfer my Junior ISA holdings as detailed above, to Liontrust Fund Partners LLP. I also authorise the manager to supply Liontrust Fund Partners LLP with any information and documentation as they may require in connection with this Junior ISA transfer.

TITLE (MR / MRS / MISS / OTHER)

SURNAME

FORENAMES (IN FULL)

SIGNATURE

DATE

DD / MM / YYYY

Please confirm whether you are a Politically Exposed Person\* ☐

\* Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. A non-exhaustive list of examples includes; members of parliament, high ranking officers in the armed forces, members of the administrative, management or supervisory boards of government or state-owned enterprises, senior figures in international organisations.

## 10 INCOME AND ACCUMULATION CLASSES

Some Liontrust funds issue Income units or shares only where distribution income must automatically be reinvested. JISA regulations do not allow income to be paid out. Some funds issue Accumulation units or shares only where the distribution income is reflected in the fund price. Some funds issue both Income and Accumulation units or shares so if an Income class is selected, please note, any distribution or dividend paid will be automatically reinvested on each relevant pay date. Please refer to the relevant fund Prospectus for further information.

Liontrust Fund Partners LLP, registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ.  
Authorised and regulated by the Financial Conduct Authority (No 518165).



## 11 INVESTMENT DETAILS

Please indicate below the fund(s) and the amount(s) you wish to invest. See Liontrust Fund Prospectuses available at [www.liontrust.co.uk](http://www.liontrust.co.uk) for further details.

### Liontrust Unit Trust Funds

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust European Dynamic Fund Class B	Income		
Liontrust Special Situations Fund Class B	Income		
Liontrust UK Growth Fund Class B	Income		
Liontrust UK Micro Cap Fund Class I	Accum		
Liontrust UK Smaller Companies Fund Class R	Income		

### Liontrust Sustainable Future Funds ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust SF Cautious Managed Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 6	Accum		
Liontrust SF Defensive Managed Fund Class 2	Income		
Liontrust SF European Growth Fund Class 2	Accum		
Liontrust SF Global Growth Fund Class 2	Accum		
Liontrust SF Managed Fund Class 2	Income		
Liontrust SF Managed Fund Class 6	Accum		
Liontrust SF Managed Growth Fund Class 2 Net	Accum		
Liontrust SF UK Growth Fund Class 2	Accum		
Liontrust UK Ethical Fund Class 2	Accum		

### Liontrust Investment Funds ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust SF Monthly Income Bond Fund Class B	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Income		
Liontrust Strategic Bond Fund Class B	Accum		
Liontrust Strategic Bond Fund Class B	Income		

### Liontrust Global Innovation Funds

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Global Dividend Fund Class B	Income		
Liontrust Global Innovation Fund Class B	Accum		
Liontrust Global Innovation Fund Class B	Income		
Liontrust Global Technology Fund Class C	Accum		

### Liontrust Global Fundamental Funds\*

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Balanced Fund Class B	Accum		
Liontrust Balanced Fund Class B	Income		
Liontrust China Fund Class B	Accum		
Liontrust Emerging Markets Fund Class C	Accum		
Liontrust Global Alpha Fund Class B	Accum		
Liontrust Global Smaller Companies Fund Class C	Accum		
Liontrust Income Fund Class B	Accum		
Liontrust Income Fund Class B	Income		
Liontrust India Fund Class C	Accum		
Liontrust Japan Equity Fund Class B Hedged	Accum		
Liontrust Latin America Fund Class C	Accum		
Liontrust Russia Fund Class B**	Accum		
Liontrust US Opportunities Fund Class B	Accum		

Note: \*This list refers to what was previously the Global Equity funds. As of 8th February 2023, the Global Equity funds consolidated with the Global Fundamental Funds. \*\* Liontrust, in agreement with the depositary and with the knowledge of our regulator, the Financial Conduct Authority (FCA), has made the decision to temporarily suspend dealing in the Russia Fund. Liontrust will keep the suspension under continual review.



## 1.1 INVESTMENT DETAILS (CONTINUED)

### Liontrust Multi-Asset Investments ICVC

Liontrust MA Explorer 100 Fund Class A

Liontrust MA Explorer 85 Fund Class A

Liontrust MA Explorer 85 Fund Class A

Liontrust MA Explorer Income 60 Fund Class A

Liontrust MA Explorer Income 60 Fund Class A

Liontrust MA Explorer Income 45 Fund Class A

Liontrust MA Explorer Income 45 Fund Class A

Liontrust MA Explorer 70 Fund Class A

Liontrust MA Explorer 70 Fund Class A

Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Accum		
Income		
Accum		
Accum		
Income		
Income		
Accum		
Accum		
Income		

### Liontrust Multi-Asset Investments ICVC II

Liontrust MA Blended Growth Fund Class A

Liontrust MA Blended Intermediate Fund Class A

Liontrust MA Blended Intermediate Fund Class A

Liontrust MA Blended Moderate Fund Class A

Liontrust MA Blended Moderate Fund Class A

Liontrust MA Blended Progressive Fund Class A

Liontrust MA Blended Reserve Fund Class A

Liontrust MA Blended Reserve Fund Class A

Liontrust MA Monthly High Income Fund Class A

Liontrust MA Monthly High Income Fund Class A

Liontrust MA UK Equity Fund Class A

Liontrust MA UK Equity Fund Class A

Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Accum		
Income		
Accum		
Accum		
Income		
Accum		
Income		
Accum		
Income		
Accum		
Income		
Accum		
Accum		
Income		

### Liontrust Multi-Asset Global Solutions ICVC

Liontrust MA Explorer 35 Fund Class A

Liontrust MA Explorer 35 Fund Class A

Liontrust MA Diversified Real Assets Class D

Liontrust MA Diversified Real Assets Class D

Liontrust MA Dynamic Passive Adventurous Class A

Liontrust MA Dynamic Passive Growth Class A

Liontrust MA Dynamic Passive Intermediate Class A

Liontrust MA Dynamic Passive Moderate Class A

Liontrust MA Dynamic Passive Progressive Class A

Liontrust MA Dynamic Passive Progressive Class A

Liontrust MA Dynamic Passive Prudent class A

Liontrust MA Dynamic Passive Reserve Class A

Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Income		
Accum		
Accum		
Income		
Accum		
Accum		
Accum		
Accum		
Accum		
Income		
Accum		
Accum		

Overall Total Investment

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Regular Savers: If you wish to commence your regular savings plan with a lump sum investment (minimum £50) please tick the box here:

☐

If you wish to save regularly (minimum £10) you must complete the Direct Debit Mandate. Direct Debits are collected from your bank or building society account on 15th of each month (or next business day). Please add together any amounts entered above in the total box. Please make your cheque payable to Liontrust Fund Partners Limited.